

Illinois Emergency Operations Plan

ESF-1 Transportation

Appendix X-2 Waivers and Exemptions

Illinois Emergency Management Agency and
Office of Homeland Security

September 23, 2026



Illinois Emergency Management Agency
and Office of Homeland Security



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State of Illinois Managed Plan Emergency Support Function (ESF) # 1 Appendix X-2 Waivers and Exemptions

Title	ESF-1 Transportation Appendix X-2 Waivers and Exemptions	
Managing Division/Branch	Division of Operations	Strategic Planning – Inter-Agency Strategic Planning Cell (ISPC)
Evaluated	The ISPC, in coordination with the ESF planning group.	September 2025
Evaluated Against	1. Prior version, if applicable. 2. Federal statutes and regulations. 3. State statutes and administrative codes. 4. Federal, state, and IEMA-OHS policies and directives. 5. National Preparedness Goal 6. National Response Framework 7. NRF Emergency Support Function # 1 Annex 8. FEMA Community Lifelines 9. National Disaster Recovery Framework (NDRF) 10. IEMA-OHS policies, plans, and common definitions list. 11. Corrective actions from After-Action Reports (AARs) and real-world events where this ESF was engaged.	
Plan Type	☐ New ☒ Revised	
Branch Manager Review and Approval	Scott Gauvin, Manager of Strategic Operations and Preparedness	July 7, 2026
Senior Official Review and Approval	Theodore 'Ted' Berger, Director Clayton Kuetemeyer, Deputy Director Christopher Dodd, Chief of Operations Louis Conway, Chief Legal Counsel	September 23, 2026
Supersedes	August 2021, Transportation Management Annex August 2021, Waivers and Exemptions Appendix	



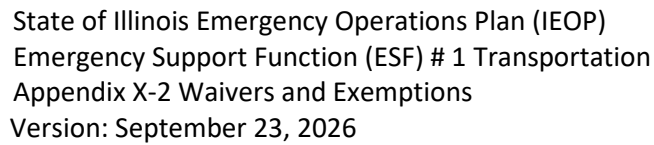
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State ESF-1, X-2 Coordinator	Support Agencies & Organizations
Illinois Department of Transportation (IDOT)	Illinois Commerce Commission (ICC) Illinois Department of Revenue (IDOR) Illinois Emergency Management Agency & Office of Homeland Security (IEMA-OHS) Illinois Secretary of State (SOS) Illinois State Police (ISP)
Primary State Agencies & Organizations	
Illinois Department of Transportation (IDOT)	
Federal ESF-2, X-2 Coordinator	
Department of Transportation	



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When changes are made to this document, the following procedures will be followed:

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1. Introduction

1.1. Purpose

- 1.1.1. Provide operational guidance, coordinating processes, and strategies for granting and implementing waivers and exemptions for motor carriers.

1.2. Scope

- 1.2.1. This appendix addresses support of waivers and exemptions for transportation and response resources required for the restoration of critical lifeline functions and community services.
- 1.2.2. This appendix addresses waivers and exemptions through response and short- and intermediate-term recovery.
- 1.2.3. This appendix addresses coordination and communication between inter-and-intra-state organizations.
- 1.2.4. This appendix addresses the initial movement of transportation and response resources into and through the state, including demobilization and/or transit necessary to exit the state.
- 1.2.5. This appendix considers provisions applied to county and local governments proclaiming an emergency or disaster and the implementation of five-day, no extension, statewide waivers and exemptions.

1.3. Policy

- 1.3.1. Upon the Governor's determination of a need for waivers and exemptions, all agencies having enabling authority will grant waivers and exemptions for transportation and response resources required for the restoration of critical lifeline functions and community services.
- 1.3.2. Based on the scope and magnitude of the event, the Authority Having Jurisdiction (AHJ) may extend the provisions for waivers and exemptions and relieve legislative requirements in accordance with federal requirements.
- 1.3.3. Waivers and exemptions for oversized and overweight permits on state highways will be approved in accordance with the IDOT Oversize and Overweight Permit Movements on State Highways Manual, as amended.



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- 1.3.4. County and/or local declarations and decisions to grant waivers and exemptions and relief from legislative requirements will be tracked and disseminated through the State Emergency Operations Center (SEOC).
- 1.3.5. Required input: Relevant agency policy from the Illinois Department of Revenue (IDOR), Secretary of State (SOS), Illinois Commerce Commission (ICC), and SEOC.

1.4. Situation

- 1.4.1. A disaster has occurred resulting in significant damage to critical infrastructure, private and public property, and critical infrastructure.
- 1.4.2. Private sector organizations require transit into and out of the state, which may require overweight, length, and width permits; extended hours of operation; and relief of fees and/or regulatory processes associated with routine operations.
- 1.4.3. The Governor has proclaimed that a disaster exists within the state.

1.5. Assumptions

- 1.5.1. Impacted areas are accessible.
- 1.5.2. Private sector organizations will work directly with regulatory and enforcement agencies on issues related to waivers and exemptions and relief from legislative requirements.
- 1.5.3. Private sector organizations will require mutual aid assistance from organizations external to the state.
- 1.5.4. Public, private sector, and non-governmental organizations will coordinate the dissemination of waivers and exemptions.
- 1.5.5. State waivers and exemptions will not be dependent on federal response posture.

2. Concept of Operations

2.1. General

- 2.1.1. Requests for waivers and exemptions will be directed to individual agencies having enabling authority and will be coordinated with the SEOC.
- 2.1.2. Private sector organizations have primary responsibility for compliance with transportation regulations, waivers, and exemptions as appropriate.



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- 2.1.3. Primary and support agency SEOC Agency Representatives will identify situations affecting transportation.
- 2.1.4. Granting waivers and exemptions and relief from legislative requirements will follow recognized and identified standards, criteria, practices, and agency policies.
- 2.1.5. To the extent possible, waivers and exemptions and relief from legislative requirements will be specified in a state disaster proclamation.

2.2. Notification, Alert, and Warning (NAW)

- 2.2.1. Notification of state agencies and organizations will be carried out in accordance with ESF – 2 Appendix X-1, Notification, Alert, and Warning.
- 2.2.2. Primary and support agencies are responsible for internal and support partner notification.
- 2.2.3. For facilities regulated by the state, release notifications will be carried out in accordance with local, state, and federal laws, rules, regulations, policies, and procedures.
- 2.2.4. Primary and support agency SEOC Agency Representatives will identify situations affecting environmental safety.

2.3. Activation

- 2.3.1. The SEOC will provide state support through the activation of identified Agency Representatives in accordance with SEOC Standard Operating Procedure Management and Coordination

2.4. Communications

- 2.4.1. Communications throughout response and recovery will be conducted in accordance with standard operating procedures and managed using established procedures, processes, and policies outlined in ESF – 2, Communications.
- 2.4.2. Communications will be conducted in a NIMS-compliant manner utilizing clear text and frequencies coordinated with the SEOC.

2.5. Resource Management

- 2.5.1. Resource management and logistics will be carried out in accordance with ESF-7 Resource Management and Logistics.



- 2.5.2. Resource management includes mutual aid and assistance agreements, the use of federal and state teams, and resource mobilization protocols.

2.6. Reporting Requirements

- 2.6.1. The IDOT Agency Representatives will serve as the coordination point for transportation management. They will maintain situational updates, develop required intelligence briefings, and provide critical and priority information to stakeholders, key decision-makers, executive officers, and the SEOC.
- 2.6.2. As appropriate for the type, scope, and magnitude of transportation management requirements, IDOT will report disruptions, including overall minor disruptions that may cause a disproportionately significant impact on specific users, to potentially affected stakeholders, key decision-makers, and executive officers.
- 2.6.3. The IDOT Agency Representatives will coordinate directly with state agency representatives to ensure coordination of transportation information, situational awareness, and intelligence.
- 2.6.4. SEOC Agency Representatives coordinating transportation management are required to maintain situational updates, develop required intelligence briefings, and provide critical and priority information to key decision-makers, executive officers, and the SEOC.

2.7. Organization

2.7.1. Direction and Control

- 2.7.1.1. The Governor or designee has the authority to issue special permits regarding regulations, laws, rules, and policies in accordance with the IEMA Act.
- 2.7.1.2. Agencies retain enabling authority for granting and implementing waivers and exemptions.
- 2.7.1.3. Granting and implementation of waivers and exemptions and relief from legislative requirements will be coordinated between agencies having enabling authority and the SEOC.

2.7.2. Coordinating Elements



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- 2.7.2.1. Agencies granting and implementing waivers and exemptions and relief from legislative requirements will coordinate with private sector partners, non-governmental organizations, other states, state agencies, and the federal government as appropriate.
- 2.7.2.2. For the purposes of responding to provide “direct assistance” to an “emergency”, meeting the definitions in 49 CFR 390.5 and declared by the Federal Motor Carrier Safety Administration (FMCSA). Trucks originating in Illinois or driving through Illinois, whose full load is in direct support of the declared emergency, shall have relief from parts 390-399.
- 2.7.2.3. State waivers and exemptions will be enforced in accordance with the Illinois Administrative Code.

2.7.3. Federal Coordination

- 2.7.3.1. Under a Presidential Disaster Declaration of a major disaster or emergency, federal agencies are authorized to coordinate and provide direct assistance to state agencies in support of disaster response and recovery efforts.

3. Roles and Responsibilities

3.1. State ESF Coordinator

3.1.1. Illinois Department of Transportation (IDOT)

- 3.1.1.1. Coordinates the utilization of required ESF-1 support to execute missions presented by the SEOC manager.
- 3.1.1.2. Coordinates, integrates, and manages overall State efforts for ESF -1 Transportation.
- 3.1.1.3. Manages and updates resource availability for ESF -1 Transportation.
- 3.1.1.4. Assists in planning during activations and updates to the overall ESF plan.

3.2. Primary State Agency/Organization

3.2.1. Illinois Department of Transportation (IDOT)



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- 3.2.1.1. Coordinate with the SEOC legal Agency Representatives to incorporate verbiage concerning waivers and exemptions and relief from legislative requirements.
- 3.2.1.2. Evaluate with applicable state agencies and industry partners the requirements for waivers and exemptions and relief from legislative requirements based on threat to life, health, and accessibility requirements, and public safety.
- 3.2.1.3. Authorize emergency movement of vehicles or loads (non-divisible) on roadways under the state's jurisdiction, which exceed the maximum legal dimension and weight limitations for specific resources being requested for the related response.
- 3.2.1.4. Illinois Transportation Automated Permits (ITAP) will identify appropriate arterial routes for the movement of oversized/overweight resources.
- 3.2.1.5. Coordinate with ISP for escort of oversize/overweight loads.
- 3.2.1.6. Coordinate with SOS for licensing of vehicles and financial responsibility requirements.
- 3.2.1.7. Coordinate with the Department of Revenue for information concerning motor fuel tax permits.
- 3.2.1.8. Coordinate with local officials on waivers and exemptions, relief from legislative requirements influencing or requiring rerouting to non-state and federal roadways.
- 3.2.1.9. Communicate waivers and exemptions and relief from legislative requirements information to SEOC Agency Representatives and appropriate public information officers for dissemination and coordination.
- 3.2.1.10. Coordinate with IDOT Public Information Officer (PIO) and SEOC PIO on authorized waivers and exemptions and relief from legislative requirements.

3.3. Support State Agencies/Organizations

3.3.1. Illinois Emergency Management Agency & Office of Homeland Security (IEMA-OHS)



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- 3.3.1.1. Ensure a proclamation exists that meets the needs of the state for granting waivers and exemptions and relief from legislative requirements.
- 3.3.1.2. Disseminate information on waivers and exemptions and relief from legislative requirements to the SEOC Agency Representatives, key decision-makers, executive officials, and local and federal government agencies.
- 3.3.1.3. Coordinate with county emergency management officials to track and disseminate local declarations.
- 3.3.1.4. Coordinate with the local emergency management agency and the AHJ to ensure dissemination of information is presented to meet the needs of the target audience(s).
- 3.3.1.5. Coordinate with the SEOC private sector representatives and Business Emergency Operations Center (BEOC) Manager on the implementation and enforcement of waivers and exemptions to develop and disseminate updates, briefings, and intelligence to the private sector.
- 3.3.1.6. Coordinate and disseminate public information, crisis communications, and educational messaging with SEOC Public Information Officer (PIO) and agencies having enabling authorities for waivers and exemptions as appropriate, while ensuring all communications with the public are clear and accessible regardless of physical, mobility, visual, auditory, cognitive, or financial needs, abilities, or impairments.

3.3.2. Public Information Officer (PIO)

- 3.3.2.1. Coordinate with the SEOC Manager and SEOC Agency Representatives to develop information and messaging specific to the granting, implementing, and enforcing of waivers and exemptions and relief from legislative requirements.
- 3.3.2.2. Coordinate with SEOC agency Public Information Officers, key decision makers, executive officials, local and federal government agencies, and media organizations to disseminate public information specific to the granting, implementing, and enforcing of waivers and exemptions and relief from legislative requirements as appropriate while ensuring all communications with the public are clear and accessible regardless of



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physical, mobility, visual, auditory, cognitive, or financial needs, abilities, or impairments.

3.3.3. Business Emergency Operations Center (BEOC)

- 3.3.3.1. Coordinate with the SEOC and BEOC Managers to ensure dissemination of information on waivers and exemptions.
- 3.3.3.2. Develop and disseminate updates, briefings, and intelligence through the BEOC virtually, physically, and/or as part of a Business Unified Incident Command (BUIC), to the private sector on physical and jurisdictional impediments to transportation of private sector resources.
- 3.3.3.3. Coordinate with SEOC Agency Representatives on situations affecting private sector response and recovery.
- 3.3.3.4. Disseminate information to the private sector via the BEOC situational report, "Significant Event Activity Log" (SEAL), and/or through existing incident management systems.
- 3.3.3.5. Coordinate with the SEOC Manager, SEOC Agency Representatives, and private sector partners to facilitate authorized ingress and egress to affected areas.

3.3.4. Illinois State Police (ISP)

- 3.3.4.1. Coordinate with the SEOC Legal Agency Representatives to incorporate waivers and exemptions into the proclamation draft.
- 3.3.4.2. Disseminate information to all ISP sworn officers to observe authorized waivers and exemptions.
- 3.3.4.3. Coordinate with the SEOC Illinois Law Enforcement Alarm System (ILEAS) Agency Representatives to disseminate information on authorized waivers and exemptions.
- 3.3.4.4. Coordinate with SEOC Agency Representatives on authorized waivers and exemptions.
- 3.3.4.5. Coordinate with the Public Safety State Terrorism and Intelligence Center (STIC) to include verified waiver and exemption information in the Homeland Security Information Network (HSIN) Public Safety Room.



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- 3.3.4.6. Coordinate with ISP PIO and SEOC PIO on authorized waivers and exemptions.

3.3.5. Illinois Commerce Commission (ICC)

- 3.3.5.1. Performs an advisory role and a point of reference for technical expertise.

3.3.6. Illinois Secretary of State (SOS)

- 3.3.6.1. Coordinate with the SEOC Legal Agency Representatives to incorporate waivers and exemptions and relief from legislative requirements into the proclamation draft.
- 3.3.6.2. Coordinate with SEOC Agency Representatives on authorized waivers and exemptions, and relief from legislative requirements.

3.3.7. Illinois Department of Revenue (IDOR)

- 3.3.7.1. Coordinate with the SEOC Legal Agency Representatives to incorporate waivers and exemptions and relief from legislative requirements into the proclamation draft.
- 3.3.7.2. Coordinate with SEOC Agency Representatives on authorized waivers and exemptions, and relief from legislative requirements.

4. Authorities

1. 49 Code of Federal Regulations, Part 390 through 399, as amended.
2. 20 ILCS 2610, Illinois State Police Act, as amended.
3. 625 ILCS 5/15, Illinois Size and Weight Law, as amended.
4. 625 ILCS 5/18b-105, Rules and Regulations, as amended.
5. 20 ILCS 3305, IEMA Act, as amended.
6. 625 ILCS 5/18c, Illinois Commercial Transportation Law, as amended.
7. 15 ILCS 305, Secretary of State Act, as amended.
8. Title 86, Revenue Chapter 1, Department of Revenue Part 500, Subpart C, Motor Fuel Use Tax, as amended.



5. References

1. Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. § 5121 et seq, as amended.
2. National Response Framework (NRF), as amended.
3. IDOT Oversize and Overweight Permit Movements on State Highways Manual, as amended.

6. Acronyms & Definitions

1. For all definitions and acronyms addressed in this plan, please refer to the IEOP Base Plan, Appendix X-7 Glossary of Terms.